

Guarantee Advise Cancellation User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advise Cancellation User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

www.oracle.com/financialservices/

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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Guarantee Advise Cancellation process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|-----------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text. |
| <i>italic</i> | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| monospace | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

| Icons | Function |
|---|-------------|
|  | Exit |
|  | Add row |
|  | Delete row |
|  | Option List |

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Guarantee Advise Cancellation

As a part of Guarantee Advise Cancellation, the user requests for cancellation of a Guarantee/SBLC advised. On the issuing bank request, the Guarantee Advise Cancellation is initiated. The cancellation request is indicated in the incoming MT 767 message, field 23S Cancellation Request.

If the field 23S has value 'CANCEL', then Guarantee/SBLC should be initiated.

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

[3.3 Data Enrichment](#)

[3.4 Multi Level Authorization](#)

3.1 Common Initiation Stage

The user can initiate the Guarantee Advise Cancellation request from the common Initiate Task screen.

- Using the entitled login credentials, login to the OBTFPM application.
- Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

| Field | Description |
|--------------|---|
| Process Name | Select the process name to initiate the task. |
| Branch | Select the branch. |

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | The user can clear the contents update and can input values again. |

3.2 Registration

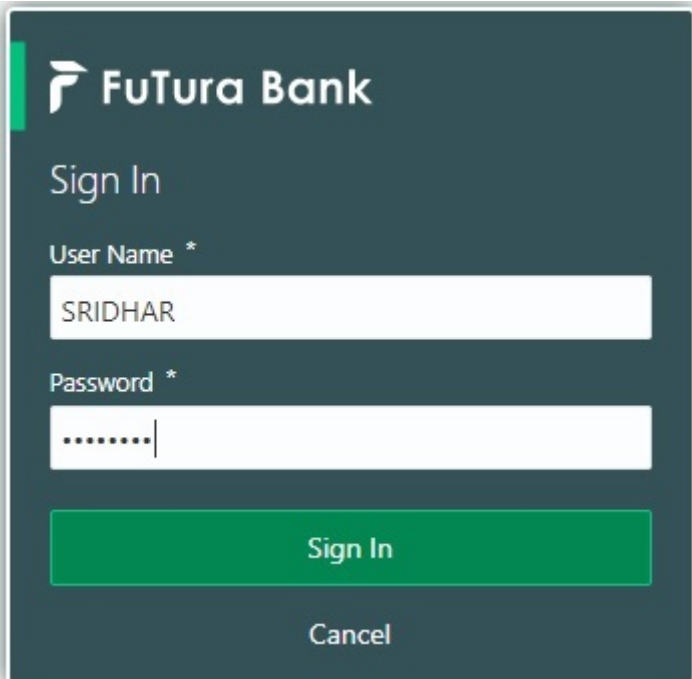
The first stage of Guarantee Advise Cancellation process starts from the Registration Stage. During Registration stage, the user can register Guarantee/SBLC Cancellation request received from the Applicant. If the Guarantee Advise cancellation request is given through email or physical application form (courier), the user can update the request.

The user has the option to submit, hold, save and hold and cancel the application.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

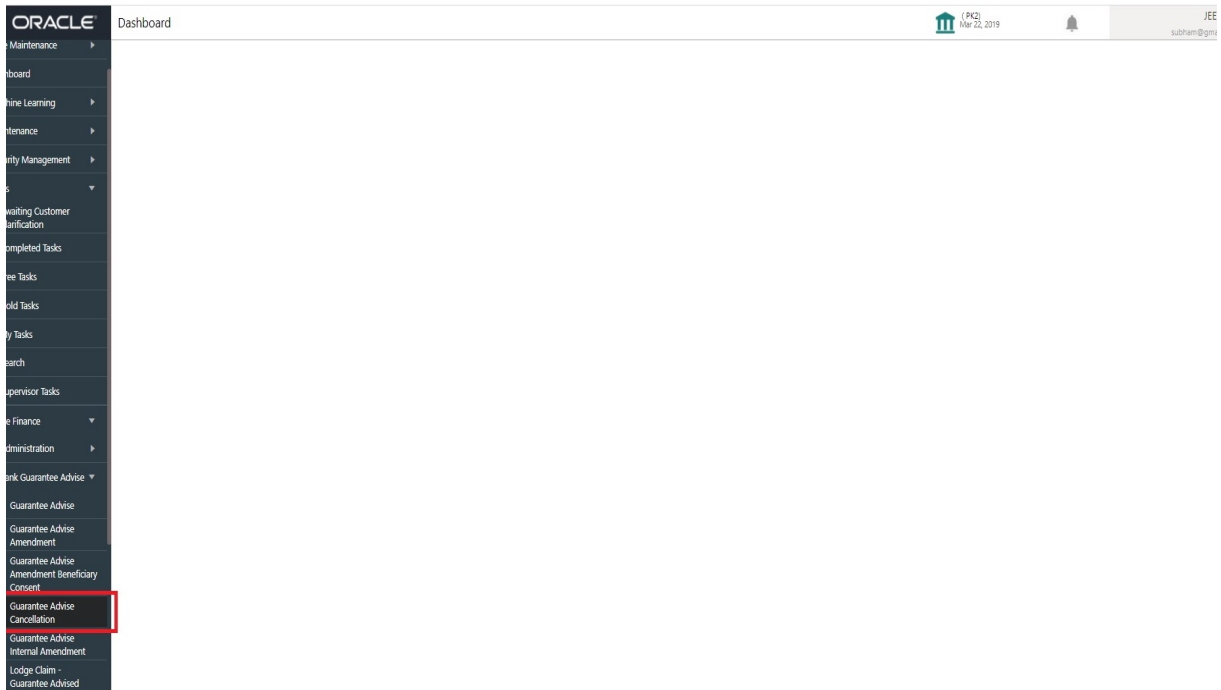
The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance > Bank Guarantee Advise > Guarantee Advise Cancellation.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------------|--|---------------|
| Advising Bank Reference Number | The user can input the Advising Reference of the Guarantee to be cancelled. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount. | |
| Beneficiary | Read only field. System will default the name of the customer as available in Guarantee Advise. | Toggle off |
| Branch | Read only field. System will default the branch from Guarantee Advise. | |
| Priority | System will default the Priority as Low/Medium/High based on maintenance. If no priority is maintained, system defaults the priority as Medium. The user can change the priority. | High |

| Field | Description | Sample Values |
|--------------------------|--|------------------------|
| Submission Mode | Submission mode of Guarantee Cancellation request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier The user can change the submission mode. | Desk |
| Amendment Number | Read only field. Unique Amendment sequence number defaults from the back office. | |
| Process Reference Number | Read only field. Unique sequence reference number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEISS000 001134 |
| Issuing Bank | Read only field. Issuing Bank Name defaults from the Guarantee Advise details. | |
| Cancellation Date | Read only field. By default, the application will display branch's current date. User can change the date to back date, future date is not allowed. | |

3.2.2 Guarantee Details

The user can view the latest LC values defaulted in the respective fields. All fields displayed in Guarantee details section are read only fields.

Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|--|---------------|
| Form of Undertaking | Read only field. Form of Undertaking defaults from Guarantee Advised. | |

| Field | Description | Sample Values |
|--------------------------|---|----------------------|
| Undertaking Number | Read only field. Undertaking number defaults from Guarantee Advised. | |
| Product Code | Read only field. This field displays the product code defaulted from Guarantee Advised. | |
| Product Description | Read only field. This field displays the description of the product as per the product code available in Guarantee Advised. | |
| Undertaking Amount | Read only field. System defaults the outstanding value available in Guarantee Advised. | |
| Amount In Local Currency | Read only field. System fetches the local currency equivalent value for the transaction amount from back office (with decimal places). | |
| User Reference Number | Read only field. System defaults the user reference number, depending on the selection of Advising Bank Reference Number. | PK2GUI121144 0001 |
| Purpose of Message | Purpose of message defaults from Guarantee Advised. The user can change the purpose of message. | |
| File Identification | Read only field. System will default the value available in Guarantee Advised. | |
| Narrative | Read only field. System defaults the value available in Guarantee Advised. | |
| Applicable Rules | Read only field. This field displays the rules of the Guarantee Advised. | |
| Narrative | System defaults the value available in Guarantee Advised. | |
| Type Of Undertaking | Read only field. System defaults the value available from Guarantee Advised details. | |

| Field | Description | Sample Values |
|------------------------------|---|---------------|
| Narrative | Read Only Field. System defaults the value available in Guarantee Advised. | |
| Date of Issue | Read only field. Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration. | 04/13/18 |
| Expiry Type | Read Only field. System defaults the expiry type available in Guarantee Advised. | |
| Date of Expiry | Read Only field. System defaults the expiry date available in Guarantee Advised. | |
| Expiry Condition/ Event | Read Only field. System defaults the expiry condition available in Guarantee Advised. | |
| Applicant | Read only field. This system defaults the applicant name available in Guarantee Advised. | |
| Obligor/ Instructing Party | Read only field. This system defaults the value available in Guarantee Advised. | |
| Advice Through Bank | Read only field. System defaults the value available in Guarantee Advised. | |
| Additional Amounts | Read only field. Additional Amount Covered as per the latest LC details is displayed. | |
| Beneficiary Consent Required | Toggle on: Beneficiary consent required for cancellation. Toggle off: Switch off the toggle if beneficiary consent is not required for cancellation. | |

3.2.3 Miscellaneous

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|--|---------------|
| Documents | Upload the required documents. System displays the mandatory and optional documents that are maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit. | |
| Remarks | Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request. | |
| Customer Instruction | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| View Guarantee/SBLC | Clicking on View Guarantee/SBLC button enables user to view the details of the Guarantee/SBLC. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Guarantee/SBLC Events | Clicking on Events button enables the user to view the snapshot of various events under the Guarantee/SBLC details. | |
| Action Buttons | | |
| Submit | On Submit, system trigger advice to the customer and will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | The task gets canceled and system should clear the Guarantee Advice Cancellation Registration stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Checklist | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. | |

3.2.4 Document Linkage

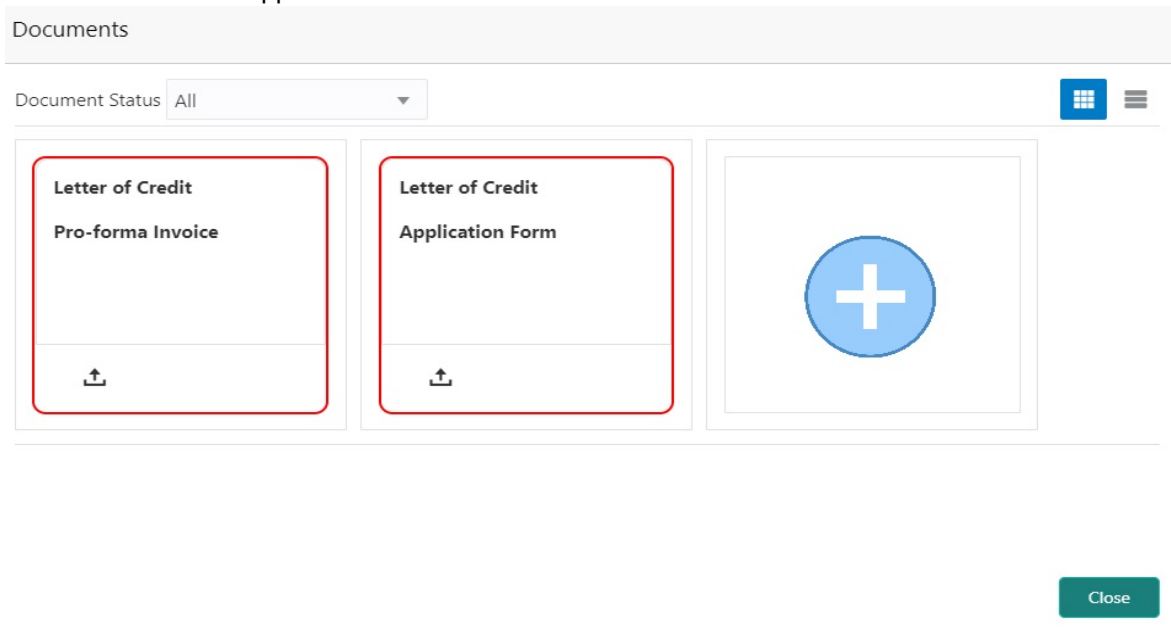
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

| Field | Description | Sample Values |
|---------------|---|---------------|
| Document Type | Select the Document type from list. Indicates the document type from metadata. | |
| Document Code | Select the Document Code from list. Indicates the document Code from metadata. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Document Title | Specify the document title. | |
| Document Description | Specify the document description. | |
| Remarks | Specify the remarks. | |
| Document Expiry Date | Select the document expiry date. | |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

| | |
|-------------------------|----------------------|
| Customer Id * 032204 | Document Id |
| Document Type * ▼ | Document Code * ▼ |

Fetch

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|---------------------|-------------|-------------|---------------|---------------|-------------|------------------|
| No data to display. | | | | | | |

Page 1 (0 of 0 items) ⏪ < 1 > ⏩

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Customer ID | This field displays the transaction Customer ID. | |
| Document ID | Specify the document Id. | |
| Document Type | Select the document type from list. | |
| Document Code | Select the document code from list. | |
| Search Result | | |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |
| Document ID | This field displays the document Code from meta data. | |

| Field | Description | Sample Values |
|------------------|--|---------------|
| Customer ID | This field displays the transaction Customer ID. | |
| Document Type | This field displays the document type from meta data. | |
| Document Code | This field displays the document code from meta data. | |
| Upload Date | The field displays the upload date of the document. | |
| Reference Number | The field displays the reference number of the document. | |

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *
032204

Document Type *
Documentary Collection

Document Id

Document Code *
Insurance Policy

[Fetch](#)

| Link Document | Document Id | Customer Id | Document Type | Document Code | Upload Date | Reference Number |
|----------------------|-------------|-------------|---------------|---------------|--------------|------------------|
| Link | 1559 | 032204 | HGJH | INSURANCE | Mar 9, 2023 | 032IDCB000017631 |
| Link | 2649 | 032204 | testing | INSURANCE | Mar 29, 2023 | 032ILCC000021179 |
| Link | 4143 | 032204 | | INSURANCE | May 8, 2023 | 032ILCU000032029 |
| Link | 4145 | 032204 | | INSURANCE | May 8, 2023 | 032ILCU000032042 |
| Link | 4305 | 032204 | | INSURANCE | May 10, 2023 | 032IDCB000033105 |

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[Close](#)

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

| | |
|------------------------------|-------------------------|
| Document Id | Document Title |
| 2400 | wqwq |
| Application Reference Number | Entity Reference Number |
| PK2ILCI000019041 | PK2ILCI000019041 |
| Document Type Id | Document Description |
| TFPM_DOCTYPE001 | |
| Remarks | Document Expiry Date |
| | Jun 29, 2022 |

Drop files here or click to select

Current selected files: []

Update Cancel

3.3 Data Enrichment

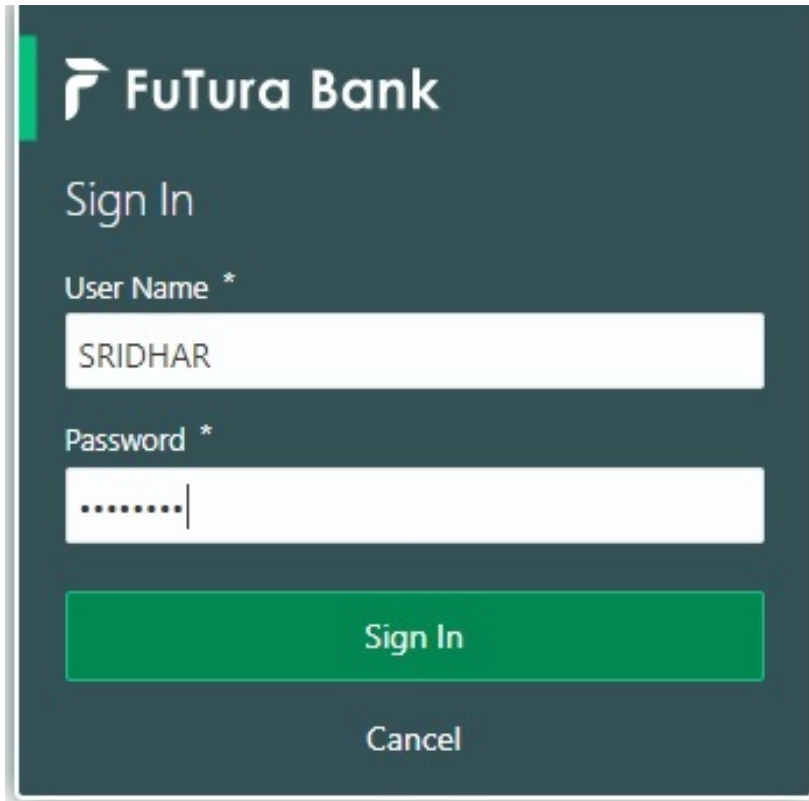
As part of Data Enrichment, user can register and update the Guarantee Cancellation request received from the Issuing Bank. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Trade Finance> Tasks> Free Tasks**.

ORACLE Free Tasks (PK2) Mar 22, 2019 JEEV subham@gmail

Item Search...

Refresh Acquire Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|--|----------|----------------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|
| <input checked="" type="checkbox"/> Acquire & E... | M | Guarantee Advise Cancellation | PK2GTAC000045576 | PK2GTAC000045576 | DataEnrichment | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Export LC Drawing Update | PK2ELCU000045574 | PK2ELCU000045574 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045572 | PK2ILCI000045572 | Reject Approval | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045570 | PK2ILCI000045570 | Reject Approval | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045565 | PK2ILCI000045565 | DataEnrichment | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Lodge Claim-Guarantee Advised | PK2GADC000045567 | PK2GADC000045567 | DataEnrichment | 21-02-04 | PK2 | |
| <input type="checkbox"/> Acquire & E... | | Lodge Claim-Guarantee Advised | PK2GADC000045560 | PK2GADC000045560 | DataEnrichment | 21-02-04 | PK2 | |
| <input type="checkbox"/> Acquire & E... | M | Export LC Drawing Update | PK2ELCU000045554 | PK2ELCU000045554 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Import Documentary Collection... | PK2IDCB000045552 | PK2IDCB000045552 | Handoff RetryTask | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Export Documentary Collection... | PK2EDCU000045548 | PK2EDCU000045548 | Handoff RetryTask | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Export LC Drawing Update | PK2ELCU000045547 | PK2ELCU000045547 | Registration | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Drawing Update | PK2ILCU000045541 | PK2ILCU000045541 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | H | Export LC Advise | PK2ELCA000045532 | PK2ELCA000045532 | Approval Task Level 1 | 21-02-04 | PK2 | 001043 |

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4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

ORACLE Free Tasks

PK2 Mar 22, 2019

JEEV subham@gmail

Refresh Acquire Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|--|----------|----------------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|
| <input checked="" type="checkbox"/> Acquire & E... | M | Guarantee Advise Cancellation | PK2GTAC000045576 | PK2GTAC000045576 | DataEnrichment | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Export LC Drawing Update | PK2ELCU000045574 | PK2ELCU000045574 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045572 | PK2ILCI000045572 | Reject Approval | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045570 | PK2ILCI000045570 | Reject Approval | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Import LC Issuance | PK2ILCI000045565 | PK2ILCI000045565 | DataEnrichment | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Lodge Claim-Guarantee Advised | PK2GADC000045567 | PK2GADC000045567 | DataEnrichment | 21-02-04 | PK2 | |
| <input type="checkbox"/> Acquire & E... | | Lodge Claim-Guarantee Advised | PK2GADC000045560 | PK2GADC000045560 | DataEnrichment | 21-02-04 | PK2 | |
| <input type="checkbox"/> Acquire & E... | M | Export LC Drawing Update | PK2ELCU000045554 | PK2ELCU000045554 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Import Documentary Collection... | PK2IDCB000045552 | PK2IDCB000045552 | Handoff RetryTask | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Export Documentary Collection... | PK2EDCU000045548 | PK2EDCU000045548 | Handoff RetryTask | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | | Export LC Drawing Update | PK2ELCU000045547 | PK2ELCU000045547 | Registration | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | M | Import LC Drawing Update | PK2ILCU000045541 | PK2ILCU000045541 | Scrutiny | 21-02-04 | PK2 | 001044 |
| <input type="checkbox"/> Acquire & E... | H | Export LC Advise | PK2ELCA000045532 | PK2ELCA000045532 | Approval Task Level 1 | 21-02-04 | PK2 | 001043 |

Page 1 of 84 (1 - 20 of 1666 items) K < 1 2 3 4 5 ... 84 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

ORACLE My Tasks

PK2 Mar 22, 2019

JEEV subham@gmail

Refresh Release Delegate Flow Diagram

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|--|----------|---------------------------|--------------------------|--------------------|----------------|------------------|--------|-----------------|-------------|
| <input checked="" type="checkbox"/> Edit | M | Guarantee Advise Canc... | PK2GTAC000042650 | PK2GTAC000042650 | DataEnrichment | 20-12-17 | PK2 | 001044 | £27,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Canc... | PK2GTAC000042649 | PK2GTAC000042649 | Registration | 20-12-17 | PK2 | 001044 | £50,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Canc... | PK2GTAC000042647 | PK2GTAC000042647 | Registration | 20-12-17 | PK2 | 001044 | £50,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Ame... | PK2GTEI000042613 | PK2GTEI000042613 | DataEnrichment | 20-12-16 | PK2 | 001044 | £1,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Ame... | PK2GTEI000042611 | PK2GTEI000042611 | DataEnrichment | 20-12-16 | PK2 | 001044 | £1,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Advise Amen... | PK2GTAA000042568 | PK2GTAA000042568 | DataEnrichment | 20-12-16 | PK2 | 001044 | £27,000.00 |
| <input type="checkbox"/> Edit | M | Import Documentary C... | PK2IDCR000042559 | PK2IDCR000042559 | DataEnrichment | 20-12-15 | PK2 | 001043 | £50,000.00 |
| <input type="checkbox"/> Edit | M | Import Documentary C... | PK2IDCU000042558 | PK2IDCU000042558 | DataEnrichment | 20-12-15 | PK2 | 001044 | £100,200.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Ame... | PK2GTEI000042555 | PK2GTEI000042555 | DataEnrichment | 20-12-15 | PK2 | 001044 | £1,000.00 |
| <input type="checkbox"/> Edit | M | Guarantee Issuance Ame... | PK2GTEI000042551 | PK2GTEI000042551 | Registration | 20-12-15 | PK2 | 001044 | £93,355.00 |
| <input type="checkbox"/> Edit | M | Guarantee Amendment | PK2GTEA000042536 | PK2GTEA000042536 | DataEnrichment | 20-12-15 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | M | Import Documentary C... | PK2IDCR000042517 | PK2IDCR000042517 | DataEnrichment | 20-12-15 | PK2 | 001044 | £2,000.00 |
| <input type="checkbox"/> Edit | M | Import Documentary C... | PK2IDCU000042515 | PK2IDCU000042515 | DataEnrichment | 20-12-15 | PK2 | 001044 | £999,999.00 |
| <input type="checkbox"/> Edit | M | Import Documentary C... | PK2IDCL000042513 | PK2IDCL000042513 | DataEnrichment | 20-12-15 | PK2 | 000149 | £1,000.00 |

Page 1 of 19 (1 - 20 of 375 items) K < 1 2 3 4 5 ... 19 > X

The Guarantee Advise Cancellation - Data Enrichment stage has sections as follows:

- Main Details
- Guarantee Preferences
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Cancellation Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

3.3.1.1 Application Details

Refer to 3.2.1 Application Details section of 3.2 Registration stage for more information of the fields.

The screenshot shows the Oracle application details form. The top navigation bar includes 'ORACLE' and user information: 'ENTITY_ID1 (ENTITY_J...', 'FLEXCUBE UNIVERSAL BAN...', 'Aug 3, 2023', and 'POORNIM subham@gmail'. Below the navigation bar, there are tabs for 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', and 'View Undertaking'. The main content area is divided into two sections: 'Application Details' and 'Guarantee Details'. The 'Application Details' section includes fields for 'Advising Bank Reference Number' (032GUAD232141002), 'Beneficiary' (032204 Air Arabia), 'Branch' (032-Oracle Banking Trade Finan...), 'Priority' (Medium), 'Submission Mode' (Desk), 'Amendment Number' (1), 'Process Reference Number' (032GTAC000167927), and 'Issuing Bank' (032312 MASHREQ BANK). The 'Guarantee Details' section includes fields for '22D - Form of Undertaking' (DGAR - Guarantee), '20 - Undertaking Number', 'Product Code' (GUAD), 'Product Description' (Guarantee Advising), '32B - Undertaking Amount' (AED 80,000.00), 'Amount In Local Currency' (AED 80,000.00), 'User Reference Number' (032GUAD232141002), '22A - Purpose of Message' (Advice of amendment to issued ...), '23X - File Identification', '23X - Narrative', '40C - Applicable Rules' (None - Not subject to any rules), '40C - Narrative' (OTHR), '22K - Type of Undertaking' (BILL - Bill of lading), '22K - Narrative' (Bill of Lading Guarantee), '30 - Date of Issue' (Aug 2, 2023), '23B - Expiry Type' (OPEN), 'Date of Expiry' (Aug 2, 2026), '35G - Expiry Condition/Event', 'Applicant' (032207 Emaar Propertie), and '51 - Obligor/ Instructing Party'. At the bottom, there are buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Ne'.

3.3.1.2 Guarantee Details

The fields listed under this section are same as the fields listed under the 3.2.2 Guarantee Details section in 3.2 Registration.

The screenshot shows the Oracle guarantee details form. The top navigation bar includes 'ORACLE' and user information: 'ENTITY_ID1 (ENTITY_J...', 'FLEXCUBE UNIVERSAL BAN...', 'Aug 3, 2023', and 'POORNIM subham@gmail'. Below the navigation bar, there are tabs for 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', and 'View Undertaking'. The main content area is divided into two sections: 'Application Details' and 'Guarantee Details'. The 'Guarantee Details' section includes fields for '22D - Form of Undertaking' (DGAR - Guarantee), '20 - Undertaking Number', 'Product Code' (GUAD), 'Product Description' (Guarantee Advising), '32B - Undertaking Amount' (AED 80,000.00), 'Amount In Local Currency' (AED 80,000.00), 'User Reference Number' (032GUAD232141002), '22A - Purpose of Message' (Advice of amendment to issued ...), '23X - File Identification', '23X - Narrative', '40C - Applicable Rules' (None - Not subject to any rules), '40C - Narrative' (OTHR), '22K - Type of Undertaking' (BILL - Bill of lading), '22K - Narrative' (Bill of Lading Guarantee), '30 - Date of Issue' (Aug 2, 2023), '23B - Expiry Type' (OPEN), 'Date of Expiry' (Aug 2, 2026), '35G - Expiry Condition/Event', 'Applicant' (032207 Emaar Propertie), and '51 - Obligor/ Instructing Party'. At the bottom, there are buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Ne'.

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

3.3.2 Guarantee Preference

In this section user can enter and update the acknowledgment details and response details.

Provide the Guarantee Preference based on the following table.

| Field | Description | Sample Values |
|---------------------------------------|--|---------------|
| Sender to Receiver Information | | |
| Sender to Receiver Information | Select the additional information for receiver from the LOV. | |
| MT768- Acknowledgment Details | | |
| Account Identification | Provide the values for account identification. | |
| Date of Message Ack | Read Only. System defaults the current system date as date of message acknowledgment. | |
| Amount of Charges | Select the currency and specify the values for the amount of charges. | |
| Account with Bank | User can enter the account with bank details. | |
| Charge Details | Provide the details of charges if applicable. | |

3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

3.3.3 Additional Fields

In this step system defaults the Additional details based on the Additional fields maintained in the system.

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | <p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p> | |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

3.3.4 Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot displays the Oracle 'Advices' section. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (SRIDHA, subham@gmail.com). The main content area shows a list of five advice cards, each with a title and details:

- Advice : GUA_AMD_INSTR**
Advice Name : GUA_AMD_INSTR
Advice Party : BEN
Party Name : GOODCARE PLC
Suppress : YES
Advice
- Advice : GUAR_RELEASE**
Advice Name : GUAR_RELEASE
Advice Party : ISB
Party Name : CITIBANK IRELAND
Suppress : NO
Advice
- Advice : LC_ACK_AMND**
Advice Name : LC_ACK_AMND
Advice Party : ISB
Party Name : CITIBANK IRELAND
Suppress : NO
Advice
- Advice : LC_CASH_COL_ADV**
Advice Name : LC_CASH_COL_ADV
Advice Party : ISB
Party Name : CITIBANK IRELAND
Suppress : NO
Advice
- Advice : PAYMENT_MESSAGE**
Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

The bottom navigation bar contains buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

032204

Advice Name

AMD_EXP_CR

Party Name

Air Arabia

Medium

MAIL

Advice Party

BEN

FFT Code

| FFT Code | FFT Description | | Action |
|-------------|-----------------|--|--------|
| 29BNKCNTACT | | | |





Instructions

| Instruction Code | Instruction Description | Edit | Action |
|------------------|---|------|--------|
| E202 | . IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNC | | |

OK Cancel

| Field | Description | Sample Values |
|-----------------|--|---------------|
| Suppress Advice | <p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p> | |
| Advice Name | <p>Read only field.</p> <p>Displays the advise name.</p> | |
| Medium | <p>The medium of advices is defaulted from the system.</p> <p>User can update if required.</p> | |
| Advice Party | <p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC advise.</p> | |
| Party ID | <p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC advise.</p> | |
| Party Name | <p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC advise.</p> | |

Free Format Text

| Field | Description | Sample Values |
|---|--|---------------|
|  | Click plus icon to add new FFT code. | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click edit icon to edit any existing FFT code. | |
| Action | Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details. | |
| Instruction Details | | |
|  | Click plus icon to add new instruction code. | |
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the Instruction code selected. | |
|  | Click edit icon to edit any existing Instruction code. | |
| Action | Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details. | |

3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | <p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p> | |
| Request Clarification | <p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p> | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

3.3.5 Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Guarantee/SBLC Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

3.3.5.1 Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

3.3.5.2

Limit & Collateral

Limit Details

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Amount to Earmark | Limit Check Response | Response Message | Expiry Date |
|-------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|-------------------|----------------------|------------------|-------------|
| 032204 | Facility | 032204 | 032204AED | 1 | 100 | AED | 80000 | Not Available | | 01/01/2024 |

Plus Collateral Details

Collateral Percentage *

Collateral Currency and amount

Exchange Rate

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Response |
|-----------------|-----------------------------|--------------------|---------------|--------------|---------------------|---|--------------------------------|
| 1 | AED | 0322040001 | | NaN | 44 | | VS |

Deposit Linkage Details

| Deposit Account | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | View |
|---------------------|------------------|-----------------------|----------------------|---|--------------------------------------|------|
| No data to display. | | | | | | |

Save & Close Close

Limit Details

Customer Id
032204

Contribution % *
100.0

Contribution Currency
AED

Limit/Liability Currency
AED

Limit Check Response
Available

Expiry Date
[Calendar Icon]

Response Message
Balance available of AED 99994260148;

Linkage Type *
Facility

Liability Number *
032204

Line Id/Linkage Ref No *
032204AED

Limits Description
[Empty]


Amount to Earmark *
AED 110.00

Limit Available Amount
AED 0.00

ELCM Reference Number
[Empty]

Verify
Save & Close
Close

Provide the Limit Details based on the description in the following table:

| Field | Description | Sample Values |
|--|--|---------------|
| Plus Icon  | Click plus icon to add new Limit Details. | |
| Edit | Click edit link to edit the limit details. | |

| Field | Description | Sample Values |
|--|---|---------------|
| <p>Limit Details</p> <p>Click View link to view the limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.</p> | | |
| Customer ID | Applicant's/Applicant Bank customer ID will get defaulted. | |
| Linkage Type | <p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> ● Facility ● Liability ● <p>By default Linkage Type is "Facility".</p> | |
| Contribution% | <p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> <hr/> <p style="text-align: center;">Note</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p> | |
| Liability Number | Click Search to search and select the Liability Number from the look-up. | |
| Contribution Currency | The guarantee currency will be defaulted in this field. | |
| Line ID/Linkage Ref No | User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. | |

| Field | Description | Sample Values |
|--|--|----------------------|
| Limit/ Liability Currency | Limit Currency will be defaulted in this field. | |
| Limits Description | This field will display the description of the limits. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available'. This field displays the value, if you click Verify button. | |
| Amount to Earmark | Amount to earmark will default based on the contribution %. User can change the value. | |
| Expiry Date | This field displays the date up to which the Line is valid | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click Verify button. | |
| Response Message | Detailed Response message. This field displays the value, if you click Verify button. | |
| ELCM Reference Number | This field displays the ELCM reference number. | |
| Below fields appear in the Limit Details grid along with the above fields. | | |
| Line Serial | Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid. | |
| Edit | Click the link to edit the Limit Details | |
| Delete icon | Click delete icon to delete the existing limit details. | |

Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

| | |
|--|---|
| Total Collateral Amount * <input type="text" value="AED 10.00"/> | Collateral Amount to be Collected * <input type="text" value="AED 10.00"/> |
| Sequence Number <input type="text" value="1.0"/> | Collateral Split % * <input type="text" value="10.0"/> <div style="display: flex; align-items: center;"> ▼ ▲ </div> |
| Collateral Contribution Amount * <input type="text" value="AED 1.00"/> | Settlement Account * <input type="text" value="0912160013"/> <div style="text-align: right; font-size: 12px;">🔍</div> |
| Settlement Account Currency <input type="text" value="AED"/> | Exchange Rate <input type="text" value="1.0"/> <div style="display: flex; align-items: center;"> ▼ ▲ </div> |
| Contribution Amount in Account Currency <input type="text" value="AED 1.00"/> | Account Available Amount <input type="text" value="AED 1,984,452.45"/> |
| Response <input type="text" value="VS"/> | Response Message <div style="border: 1px solid #ccc; padding: 2px; font-size: 10px;"> The amount block can be performed as the account has sufficient balance </div> |

| Field | Description | Sample Values |
|--------------------------------|---|---------------|
| Cash Collateral Details | | |
| Collateral Percentage | System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. | |
| Collateral Currency and amount | System populates the contract currency as collateral currency by default. | |
| Exchange Rate | System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. | |

Click View link to view the collateral details.


Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

| | | |
|-----------------------------------|--|--|
| Total Collateral Amount | Read only field. This field displays the total collateral amount provided by the user. | |
| Collateral Amount to be Collected | Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. | |

| Field | Description | Sample Values |
|---|--|---------------|
| Sequence Number | Read only field. The sequence number is auto populated with the value, generated by the system. | |
| Collateral Split % | Specify the collateral split% to be collected against the selected settlement account. | |
| Collateral Contribution Amount | Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. | |
| Settlement Account | Select the settlement account for the collateral. | |
| Settlement Account Currency | Settlement Account Currency is auto populated by the system. | |
| Exchange Rate | Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency. | |
| Contribution Amount in Account Currency | Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |
| Verify | Click to verify the account balance of the Settlement Account. | |
| Save & Close | Click to save and close the record. | |
| Cancel | Click to cancel the entry. | |

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

| | | |
|--------------|---|--|
| Collateral % | User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. | |
| Currency | The guarantee currency will get defaulted in this field. | |

| Field | Description | Sample Values |
|--|--|---------------|
| Contribution Amount | Collateral contribution amount will get defaulted in this field. | |
| Account Balance Check Response | Response for account balance check is defaulted in this field. | |
| Delete Icon  | Click minus icon to remove any existing Collateral Details. | |
| Edit Link | Click edit link to edit any existing Collateral Details. | |

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Customer Id
091215

Deposit Branch
PK2

Deposit Available Amount
AED AED 87,508.00

Exchange Rate

Linkage Percentage % *
45.00

Deposit Account
PK2CDP1221100002

Deposit Maturity Date


Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *
AED AED 450.00

| Field | Description | Sample Values |
|-----------------|--|---------------|
| | Click + plus icon to add new deposit details. | |
| Customer Id | Customer ID is defaulted from the system. User can change the customer ID. | |
| Deposit Account | Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. | |

| Field | Description | Sample Values |
|---|--|---------------|
| Deposit Branch | Branch will be auto populated based on the Deposit account selection. | |
| Deposit Available Amount | Amount will be auto-populated based on the Deposit Account selection. | |
| Deposit Maturity Date | Maturity Date of deposit is displayed based on the Deposit Account selection. | |
| Exchange Rate | Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core. | |
| Deposit Available in Transaction Currency | Deposit amount available should be displayed after exchange rate conversion, if applicable. | |
| Linkage Percentage% | Specify the value for linkage percentage. | |
| Linkage Amount (Transaction Currency): | System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. | |

Below fields appear in the **Deposit Details** grid along with the above fields.

| | | |
|--|--|--|
| Deposit Currency | The currency will get defaulted in this field. | |
| Transaction Currency | The currency will get defaulted in this field from the underlying task. | |
| Delete Icon  | Click minus icon to remove the existing Linked deposit details by selecting the Deposit. | |
| Edit Link | Click edit link to edit any existing deposit Details. | |

3.3.5.3 Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the

product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details

Recalculate Redefault

Commission Details

Event Description

| Component | Rate | Mod. Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settl. Acct | Amendable |
|---------------------|------|-----------|----------|--------|----------|-------|-------|--------------|-------------|-----------|
| No data to display. | | | | | | | | | | |

Page 1 of 0 (0 of 0 items) < >

Charge Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|-----------|--------------|------------|----------|--------|----------|-------------------------------------|--------------------------|--------------------------|--------------|--------------------|
| LCGCLM | AED | 89000 | GBP | £50.00 | | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Air Arabia | 0322040001 |

Page 1 of 1 (1 of 1 items) < >

Tax Details

| Component | Type | Value Date | Ccy | Amount | Billing | Defer | Settl. Acct |
|---------------------|------|------------|-----|--------|---------|-------|-------------|
| No data to display. | | | | | | | |

Save & Close Close

3.3.5.4 Commission Details

Provide the Commission Details based on the description provided in the following table:

| Field | Description | Sample Values |
|-------------------|--|---------------|
| Event | Read only field. This field displays the event name. | |
| Event Description | Read only field. This field displays the description of the event. | |
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Modified Rate | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Currency | Defaults the currency in which the commission needs to be collected. | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. This field is disabled, if 'Defer' toggle is enabled. | |

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Waive | Select the check box to waive charges/commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. This field is disabled, if 'Defer' toggle is enabled. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary. | |
| Settlement Account | Details of the Settlement Account. | |
| Amendable | Displays if the field is amendable or not. | |

3.3.5.5 Charge Details

Provide the Charge Details based on the description provided in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Component | Charge Component type. | |
| Tag Currency | Defaults the tag currency in which the charges have to be collected. | |
| Tag Amount | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified | From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field. | |
| Billing | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled. | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Defer | Charges can not be deferred further. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. | |
| Waive | If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled. | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary. | |
| Settlement Account | Details of the settlement account. | |

3.3.5.6 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Tax Component type. | |
| Type | Type of tax Component. | |
| Value Date | This field displays the value date of tax component. | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Billing | If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |
| Settlement Account | Details of the settlement account. | |

3.3.5.7 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

3.3.6 Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Based on the Guarantee Cancellation details captured in the previous screen, the preview message simulated from the Back Office and the user can view the message.

Preview Message

Preview - SWIFT Message

Language: English

Message Status: PENDING UNGENERATED

Message Type: 210

Repair Reason:

Preview - Mail Advice

Language: English

Message Status: GENERATED

Advice Type: DEBIT_ADVICE

Repair Reason:

Preview Message

DEBIT ADVICE/TAX INVOICE

DATE: 03-AUG-23 PAGE : 1

BRANCH ID:

BRANCH NAME:

BANK TRN: 100282764800003

TRANS TIME:

Air Arabia

Air Arabia

gopinath.subramanian@oracle.com;CC;shahul.ha.hameed@oracle.com

Debit Advice

Save & Close Close

3.3.6.1

| Field | Description | Sample Values |
|--|--|---------------|
| Preview SWIFT Message | | |
| Language | Read only field. English is set as default language for the preview | |
| Message Type | Select the message type. | |
| Message Status | Read only field. Display the message status of draft message of guarantee details. | |
| Repair Reason | Read only field. Display the message repair reason of draft message of guarantee details. | |
| Preview Message | Display a preview of the draft message. | |
| Preview Mail Device | | |
| Language | Read only field. English is set as default language for the preview | |
| Advice Type | Select the advice type. | |
| Message Status | Read only field. Display the message status of advice message of guarantee details. | |
| Repair Reason | Read only field. Display the message repair reason of advice message of guarantee details. | |
| Preview Message | Display a preview of the advice. | |
| Following fields will have values on receipt of customer response. | | |
| Customer Response | User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system | |
| Customer Remarks | Remarks from the customer for the draft | |
| Response Date | Customer Response received date. | |
| Default Email list | Default email address of the customer. | |
| Add Recipients | Enables to add more recipients for the customer response. | |

3.3.6.2 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | Cancel the task window and return to dashboard. The data entered will not be saved. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Next | Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. | |

3.3.7 Settlement Details

The screenshot displays the Oracle Settlement Details page. At the top, there's a navigation bar with tabs like 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', and 'View Undertaking'. The main content area is titled 'Settlement Details' and includes a table of components. Below the table, there are sections for 'CLAIM_CUST_AMT - Party Details' and 'Payment Details'.

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
|-------------------|----------|--------------|------------|---------------------|------------------|-------------------|---------------|
| AVL_SET_LCAMT | AED | Debit | 0322040001 | Air Arabia | AED | No | No |
| AVL_SET_LCAMTEQ | AED | Credit | 0322040001 | Air Arabia | AED | No | No |
| CLAIM_CUST_AMT | AED | Debit | 0323120012 | MASHREQ BANK CAIRO | EGP | No | No |
| CLAIM_CUST_AMT_FX | AED | Debit | 0323120012 | MASHREQ BANK CAIRO | EGP | No | No |
| CLAIM_SETTLE_AMT | AED | Credit | 0322040001 | Air Arabia | AED | No | No |
| COLLAMT_OSEQ | AED | Debit | 0322040001 | Air Arabia | AED | No | No |
| COLL_AMNDAMTEQ | AED | Debit | 0322040001 | Air Arabia | AED | No | No |
| COLL_AMTEQ | AED | Debit | 0322040001 | Air Arabia | AED | No | No |
| COLL_AMT_DECR | AED | Credit | 0322040001 | Air Arabia | AED | No | No |
| COLL_AMT_INCR | AED | Debit | 0322040001 | Air Arabia | AED | No | No |

3.3.7.1 Provide the settlement details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|---|---------------|
| Currency Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Components gets defaulted based on the product selected. | |
| Currency | System displays the default currency for the component. | |
| Debit/Credit | System displays the debit/credit indicators for the components. | |
| Account | System displays the account details for the components. | |
| Account Description | System displays the description of the selected account. | |
| Account Currency | System defaults the currency for all the items based on the account number. | |
| Netting Indicator | System displays the applicable netting indicator. | |
| Currency Event | System displays the current event as Y or N. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | Cancel the task window and return to dashboard. The data entered will not be saved. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Next | Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. | |

3.3.8 Summary

User can review the summary screen of Guarantee/SBLC Advise Cancellation request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTFPM) Summary screen. The interface includes a top navigation bar with the Oracle logo and user information (ENTITY_ID1, ENTITY_ID2, FLEXCUBE UNIVERSAL BAN..., Aug 3, 2023, POOR, subham@g). Below the navigation bar is a menu bar with options: Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Incoming Message, and View Undertaking. The main content area is titled 'Summary' and contains several data segments:

- Main:** SBL/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date of Issue : **2023-08-02**
- Guarantee Preference:** FFT Code 1 : , FFT Code 2 :
- Additional Fields:** Click here to view : , Additional fields :
- Advices:** Advice 1 : , Advice 2 :
- Limits and Collaterals:** Contribution Currency : , Amount to Earmark : **null**, Limit Status : **Not Verified**, Collateral Currency : , Collateral Contr. : , Collateral Status : **Not Verified**, Deposit Linkage CCY : , Deposit Linkage Amount :
- Commission, Charges and Taxes:** Charge : , Commission : , Tax : , Block Status : **Not Initiated**
- Preview Message:** Language : **ENG**, Preview Message : -
- Compliance details:** KYC : **Not Initiate...**, Sanctions : **Not Initiate...**, AML : **Not Initiate...**
- Accounting Details:** Event : **GCLM**, AccountNumber : **0322040001**, Branch : **032**

At the bottom of the screen, there is a navigation bar with buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Su.

Tiles Displayed in Summary

- **Main** - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- **Guarantee Preference** - User can view the Guarantee preference details. User can only view but cannot edit any of the details.
- **Additional Fields** - User can view the UDF maintained.
- **Additional Details** - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- **Advices** - User can view the advices details.
- **Limits and Collaterals** - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- **Commission, Charges, Taxes** - User can view the charge details. User can only view but cannot edit any of the details.
- **Preview Message** - User can have a preview of the message.
- **Compliance Details** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- **Accounting Details** - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

3.3.8.1 Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Clarification Details | Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested. | |
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Customer Instruction | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| | | |
| Incoming Message | <p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| View Undertaking | Clicking on View Undertaking button enables user to view the details of the undertaking. | |
| Request Clarification | On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details. | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> | |
| Cancel | <p>Cancel the task window and return to dashboard. The data entered will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Next | <p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p> | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Submit | Task will get moved to next logical stage of Guarantee Advise Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |

3.4 Multi Level Authorization

The Approval user can approve a Guarantee Cancellation request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.4.1 Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

Approval Rekey
✕

📄 Documents
🗨️ Remarks

Undertaking Amount

✔

Undertaking Currency

✔

Refer
Close
Proceed

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Click Next to view the Summary

3.4.1.1 **Documents and Checklist: Documents:**

The approver user can view the uploaded documents and verify the same. Verify the uploaded documents.

Checklist: The approver user can verify the uploaded documents.

Remarks: The approver user can view the remarks captured during various stages.

Incoming Message: As approval user, I should be able to view the incoming message, if the process is initiated through STP of incoming MT 767.

3.4.1.2 **Action Buttons**

Use action buttons based on the description in the following table:

| Field | Description |
|--------|--|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. The user would be able to select a Reject code and give a Reject Description <p>Other users should be able to see the reject reason in remarks window throughout the process.</p> |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p> |

| Field | Description |
|---------|--|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. |
| Back | On click Back, user navigates to previous step. |

3.4.1.3 Approval Summary Screen

Warranty Advise Cancellation
Approval Task Level 1 : Application No:- PK2IGAD000071793

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

| Main | Guarantee Preference | Additional Fields | Advices | Limits and Collaterals |
|---|--|--|--|---|
| LC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05 | FFT Code 1 : FFT Code 2 : | Click here to view : Additional fields | Advice 1 : Advice 2 : | Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 96.96 Collateral Status : Not Verified |
| Commission, Charges and Taxes | Preview Message | Compliance details | Accounting Details | Exception(Approval) |
| Charge : Commission : Tax : Check Status : Not Initia | Language : ENG Preview Message : - | KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate... | Event : CANC AccountNumber : 520000002 Branch : 032 | EXCEPTION : Nil |

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- Guarantee Preference - User can view the Guarantee preference details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Preview Message - User can have a preview of the message.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

3.4.2 Exception (Approval) - User can view the exception (Approval) details.**Handoff:**

On Approve, the task is handed off to the back office (LCDGUAMD) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

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